## Neurologists' Perspective: Recent Data and the Best Practice for Managing patients



Kyung Hee University Department of Neurology Division of Stroke Bum Joon Kim MD, PhD

## Stroke, An heterogeneous etiology

• Various mechanism of stroke



### Large artery atherosclerosis

- A-A embolism
- In situ thrombosis
- hemodynamic



Cardioembolism



### Small vessel disease



Mechanism based Stroke treatment

Patent foramen ovale -right-to-left shunt

## Culprit PFO

Incidence of PFO in cryptogenic stroke: 40%

**Incidence of PFO in normal population: 25%** 



Patients with CS unrelated to PFO

Assumptions

- 1. CS patients without a detected PFO is not caused by an undetected PFO
- 2. If not for those strokes attributable to PFO, PFO prevalence of CS = Control

Of half of PFO culprit for Cryptogenic stroke

Alawi et al. Stroke 2009

## Characteristics of PFO-stroke

### Paradoxical embolism

- Mainly embolic
- Needs to pass through PFO



# Small size embolus easier to pass through PFO

Lesion Pattern









# High prevalence of Small cortical or small scattered cortical lesion

Angiographic findings



- p<0.001-

No visible vessel occlusion





vessel occlusion



- p<0.001-

Intracranial main vessel occlusion



65	
60	
55	
50	
45	
40	
85	
80	
25	
20	
15 -	- P=0.016-
10	Network States Transie
5	
	Extracranial larg
	vessel occlusion

## Juxtacortical lesions in PFO-stroke

#### Juxtacortical spots of FLAIR image in cryptogenic TIA patients

Adjusted OR (95%, Cl)         P           RLS         3.802 (1/34-8.2.28)         0.001           Age         1.058 (1.018-1.100)         0.004           Age         1.058 (1.018-1.100)         0.004           ABCD: scores         0.999 (0.756-1.225)         0.938           DWI lesions         1.869 (0.764-4.572)         0.170           Table 3. Imaging characteristics according to RLS grade         All subjects (n=132)           Table 3. Imaging characteristics         74,14 (22.2)         7 (50.0)         0.001           No history of hypertension         1         3.7,14 (77.8)         11 (78.6)         4.001           No history of stroke or TIA         1         1         1.86.9 (211.1)         2 (11.1)         2 (14.3)         0.899           Mith RLS         Age, years         18-29         5         30-39         4         4.4         2 (21.1)         2 (14.3)         0.899           Maximum score         10         50-59         2         6         1         2.70         0         Nats are associated	1/2 6 6 6	A STALLAND		All po	atient	s (n=132)		-
Rts       3.802 (1.748-8.268)       0.001         Age       1.058 (1.018-1.100)       0.004         Ageb       1.669 (0.755-1.295)       0.939         Dell stores       1.869 (0.754-4.572)       0.170         Table 3. Imaging characteristics according to RLS grade       1         Characteristic       Points       7.4       4 (22.2)         No history of hypertension       1       7.4       4 (22.2)       7 (50.0)       0.041         No history of stroke or TIA       1       1       7.4       4 (22.2)       7 (50.0)       0.041         No history of stroke or TIA       1       1       1.78.8       <0.001				Adjust	led O	R (95% CI)		P
Age       1.058 (1.018-1.100)       0.004         ABCD2 scores       0.989 (0.755-1.295)       0.938         DWI lesions       1.869 (0.764-4.572)       0.170         Table 3. Imaging characteristics according to RLS grade         Imaging characteristi	1	9 (9) U U U U U U U U U U U U U	RLS	3.802	2 (1.74	8-8.268)		0.001
ABCD: scores       0.989 (0.755-1.295)       0.936 0.789 (0.764-4.572)         DWI lesions       1.869 (0.764-4.572)       0.170         Table 3. Imaging characteristics according to RLS grade         All subjects (n=132)         Table 1. RoPE score calculator       all subjects (n=132)         Characteristic       Points       7.41       4 (22.2)       7 (50.0)       0.041         No history of hypertension       1       3.71       14 (77.8)       13 (92.9)       0.001         No history of diabetes       1       3.81       17.78       13 (92.9)       0.001         No history of stroke or TIA       1       92       2 (11.1)       1 (71.8)       0.284         Cortical infarct on imaging       1       8.4       2 (11.1)       2 (14.3)       0.890         Age, years       18-29       5       30-39       4       40-49       3       50-59       2       60-69       1       270       0       Kim DE et al. JCN 2013	/	11	Age	1.058	8 (1.01	8-1.100)		0.004
DWI lesions       1.869 (0.764-4.572)       0.170         Table 3. Imaging characteristics according to RLS grade         All subjects (n=132)         TABLE 1. RoPE score calculator         Imaging characteristic       Points         Characteristic       Points         No history of hypertension       1       3.7 14 (77.8)       13 (92.9)       0.001         No history of diabetes       1       5.8       14 (77.8)       11 (78.6)       <0.001         No history of stroke or TIA       1       P)       2 (11.1)       1 (7.1)       0.284         Cortical infarct on imaging       1       3.4       2 (11.1)       2 (14.3)       0.890         Age, years       18-29       5       3       3.4       2 (11.1)       2 (14.3)       0.890         Age, years       18-29       5       3       3.4       2 (11.1)       2 (14.3)       0.890         Age, years       3       50~59       2       6       6       1       270       0         Maximum score       10       Kim DE et al. JCN 2013       Kim DE et al. JCN 2013		-	ABCD <sub>2</sub> scores	0.989	9 (0.75	55-1.295)		0.936
Table 3. Imaging characteristics according to RLS grade         All subjects (n=132)         Imaging characteristics according to RLS grade         All subjects (n=132)         Imaging characteristics according to RLS grade         Imaging characteristics (n=132)         Imaging characteristic         Points         All subjects (n=132)         Imaging characteristic         Points         All subjects (n=132)         Imaging characteristic         Points         All subjects (n=132)         Imaging characteristic         Points         No history of hypertension         No history of diabetes         No history of stroke or TIA         No history of stroke or TIA         No history of stroke or TIA         Not stroke or TIA         Not stroke or TIA         Points         Age, years         18-29       S         30-39       A         Ad       Nots are assocciated			DWI lesions	1.869	9 (0.78	54-4.572)		0.170
All subjects (n=132)         TABLE 1. ROPE score calculator         Image: colspan="2">Image: colspan="2" Colspa="2" Colspa="2" Colspa="2" Colspan="2" Colspan="2" Colspan="2" Co		and the	Table 3. Imaging character	istics according	g to RI	_S grade		
TABLE 1. ROPE score calculator       In the indicate score calculator         Characteristic       Points       Indicate score calculator       Indicate score calcula		ARESISTA		All	subjec	ts (n=132)		
Characteristic       Points       (n=18)       (n=14)         7.4       4 (22.2)       7 (50.0)       0.041         3.7       14 (77.8)       13 (92.9)       0.001         No history of diabetes       1       5.8       14 (77.8)       11 (78.4)       <0.001		TABLE 1. ROPE score calcula	ator		le 1	Grade 2	Grade 3	p
Characteristic       Points       7.4)       4 (22.2)       7 (50.0)       0.041         No history of hypertension       1       3.7)       14 (77.8)       13 (92.9)       0.001         No history of diabetes       1       5.8)       14 (77.8)       11 (78.6)       <0.001		Characteristic	Dete	1	38)	(n=18)	(n=14)	
No history of hypertension       1       3.7)       14 (77.8)       13 (92.9)       0.001         No history of diabetes       1       5.8)       14 (77.8)       11 (78.6)       <0.001		Characteristic	Poir	Its	7.4)	4 (22.2)	7 (50.0)	0.041
No history of diabetes       1       5.8       14 (77.8)       11 (78.6)       <0.001		No history of hypertension	1		3.7)	14 (77.8)	13 (92.9)	0.001
No history of stroke or TIA       1       2.2       4.9±4.3       5.7±4.8       <0.001         No history of stroke or TIA       1       9)       2 (11.1)       1 (7.1)       0.284         No history of stroke or TIA       1       9)       2 (11.1)       1 (7.1)       0.284         Cortical infarct on imaging       1       8.4       2 (11.1)       2 (14.3)       0.890         Age, years       18-29       5       30-39       4       nts are associated         40-49       3       50-59       2       1       2       1       2       1       1       1       1       1       1       1       1       1       1       1       1 <th< td=""><td></td><td>No history of diabetes</td><td>1</td><td></td><td>5.8)</td><td>14 (77.8)</td><td>11 (78.6)</td><td>&lt; 0.001</td></th<>		No history of diabetes	1		5.8)	14 (77.8)	11 (78.6)	< 0.001
Nonsmoker       1       9       2 (11.1)       1 (7.1)       0.284         Cortical infarct on imaging       1       8.4)       2 (11.1)       2 (14.3)       0.890         Age, years       5       6       6       6       6       6       6       1       1       7       0       2       7       0       8       7       7       1       1 <th1< th="">       1       <th1< th=""></th1<></th1<>		No history of stroke or TIA	1		2,2	4.9±4.3	5.7±4.8	<0.001
Cortical infarct on imaging18.4)2 (11.1)2 (14.3)0.890Age, years18-2930-3940-4940-4950-5960-691≥70Maximum score10Kim DE et al. JCN 2013		Nonsmoker	1		.9)	2 (11,1)	1 (7.1)	0.284
Age, years         18-29       5         30-39       4         40-49       3         50-59       2         60-69       1         ≥70       0         Maximum score       10         Kim DE et al. JCN 2013		Cortical infarct on imaging	1		8.4)	2 (11.1)	2 (14.3)	0.890
Juxtacortical with RLS 18-29 5   30-39 4 1   40-49 3   50-59 2   60-69 1   270 0   Maximum score 10 Kim DE et al. JCN 2013		Age, years						
Juxtacortical with RLS30-394nts are associated40-49350-59260-691≥700Maximum score10Kim DE et al. JCN 2013		18-29	5					
with RLS       40-49       3         50-59       2         60-69       1         ≥70       0         Maximum score       10         Kim DE et al. JCN 2013	Juxtacortical	30-39	4		nts are associated			ed
50-59 2 60-69 1 ≥70 0 Maximum score 10 Kim DE et al. JCN 2013	with RLS	40-49	3					
60-69 1 ≥70 0 Kim DE et al. JCN 2013	*	50-59	2					
≥70 0 Maximum score 10 Kim DE et al. JCN 2013		60-69	1					
Maximum score 10 Kim DE et al. JCN 2013		≥70	0					
		Maximum score	10	,,	Ki	m DE et	al. JCN	2013

RoPE; risk of paradoxical embolism, TIA; transient ischemic attack.

## Valsalva and PFO-stroke

• Right to left shunt aggravated by Valsalva maneuver

### 1. Initial pressure rise

Systemic venous return

### 2. Pressure release

Systemic venous return



oramen ovale

**Right** atrium Left atrium



Intra-thoracic pressure Pulmonary vessel re-expand

## Valsalva – posterior circulation

### Valsalva and blood flow in posterior circulation

Measurement of blood flow by SPECT after Valsalva maneuver



Hayashida et al. JNM 2001

## PFO-stroke and vascular territory

Vascular territory $n(\%)$	PFO group n=25	Non-PFO group n=21	PFO vs. non-PFO p-Value
Middle cerebral artery	16(64.0%)	13(61.9%)	1.000
Anterior cerebral artery	0	3(14.3%)	0.090
Anterior choroidal artery	0	1(4.8%)	0.460
Posterior cerebral artery	9(36.0%)	0	0.002
Basilar artery	1(4.0%)	2(9.5%)	0.590
Inferior cerebellar artery	1(4.0%)	3(14.3%)	0.320
Middle cerebellar artery	0	0	
Superior cerebellar artery	4(16.0%)	0	0.110

Medium or Large PFO (n=14)		No or Small PFO (n=81)		
п	(%)	n	(%)	Р
8	(57)	20	(27)	0.02
4	(29)	25	(31)	
2	(14)	30	(37)	
0	(0)	6	(7)	
9	(64)	31	(38)	0.05
9	(64) 👚	27	(33)	0.05
	Medi Larg (n= 8 4 2 0 9 9	Medium or Large PFO (n=14)           n         (%)           8         (57)           4         (29)           2         (14)           0         (0)           9         (64)	Medium or Large PFO (n=14)         No or S (n           n         (%)         n           8         (57)         20           4         (29)         25           2         (14)         30           0         (0)         6           9         (64)         31           9         (64)         27	Medium or Large PFO (n=14)         No or Small PFO (n=81)           n         (%)         n         (%)           8         (57)         20         (27)           4         (29)         25         (31)           2         (14)         30         (37)           0         (0)         6         (7)           9         (64)         31         (38)           9         (64)         27         (33)

#### Boutet et al. Eur. J. Radiol. 2014





PFO-stroke more often observed from posterior circulation

### Valsalva and posterior PFO-stroke

#### Table 1. Comparison of the Constant RLS and Provoked RLS Groups



The results are expressed as number (%) or mean±SD.

PFO indicates patent foramen ovale; and RLS, right-to-left shunt.

Valsalva maneuver may associate with the high prevalence of posterior circulation infarction in PFO-stroke

## Characteristics of PFO-stroke

TABLE 5. Clinical and imaging clues suggesting PFO-associated stroke

Clinical dues16,40 Young patient without other cause Valsalva maneuver preceding the neurological symptoms Recent prolonged travel History of migraine Dyspnea, tachycardia at onset Neuroimaging clues<sup>13,15</sup> Small cortical infarction Multiple small scattered lesions Posterior circulation Echocardiographic clues<sup>21-33,41,43</sup> Large PFO Large amount of shunt Presence of shunt at rest Presence of atrial septal aneurysm Long-tunneled PFO (≥1 cm) PFO; patent foramen ovale.

## Recent study results

#### TABLE 4. Results of clinical trials of PFO closure

	CLOSURE 129	PC <sup>p</sup>	RESPECT <sup>94,38</sup>	CLOSE <sup>36</sup>	Gore REDUCE <sup>₽</sup>	DEFENSE-PFO <sup>39</sup>
Patients	909	414	980	663	664	120
Mean age, years	45-9	44-5	45-9	43-3	45.2	51.8
Mean follow-up time, years	3-7	4.1	5-9	5-3	3.2	2.8
ASA, %	36.6	23.7	35.6	32.8	20.4	10.8
Medical therapy	Aspirin, warfarin or both	Antiplatelet, warfarin or both	Antiplatelet or warfarin	Antiplatelet or warfarin	Antiplatelet	Antiplatelet or warfarin
Closure device	STARFlex	Amplatzer	Amplatzer	Any approved PFO closure device	GORE_ CARDIO- FORM Septal Oc- cluder or GORE_ HELEX_Septal Occluder	Amplatzer
Effective closure, %	86.1	95-9	93-5	93.0	75.6	100
Primary endpoint (HR [95% CI]), medical vs. closure	0.78 (0.45-1.35), (5.5% vs. 6.8%)	0.63 (0.24-1.62), (3.4% vs. 5.2%)	0.55 (0.31-0.999), (5.8% vs. 3.6%)	0.03 (0.00-0.26), (6% vs. 0%)	0.23 (0.09-0.62), (5.4% vs. 1.4%)	Unavailable, (12.9% vs. 0%)
AF (medical vs. clo- sure)	0.7% vs. 5.8%	1.0% vs. 3.0%	1.5% vs. 3.1%	0.9% vs. 4.6%	0.4% vs. 6.6 %	0.0% vs. 3.3 %

PFO; patent foramen ovale, ASA; atrial septal aneurysm, HR; harzard ratio, AF; atrial fibrillation.

PFO closure effective for those with PFO-stroke

- Better technique, Better indications

## CLOSE

- 663 patients with recent PFO-stroke with ASA or Large shunt
  - Randomized to (1:1:1)  $\rightarrow$  f/u 5.3 years
    - PFO + antiplatelet treatment (DAPT 3month -> SAPT)
    - Antiplatelet treatment alone (aspirin 86.7%, DAPT 1.3%)
    - Anticoagulation (Warfarin, No data on TTR)

Outcome	utcome Randomization Groups 1 and 2			Randomization Groups 1 and 3			
	PFO Closure Group (N=238)	Antiplatelet-Only Group (N=235)	Hazard Ratio (95% CI)†	P Value	Anticoagulant Group (N=187)	Antiplatelet-Only Group (N=174)	Hazard Ratio (95% CI)‡
Primary efficacy outcome							
Stroke in the intention-to-treat popula- tion — no. of patients	0	145 6%	0.03 (0.00-0.26)	<0.001	3¶	7§	0.44 (0.11-1.48)
Stroke in the per-protocol population — no./total no. of patients	0/217	14/2235	0.04 (0.00-0.27)	<0.001	2/143¶	7/164§	0.37 (0.07-1.38)



10 month later: 93% had no or minimal residual shunt (<10 bubbles)

4.6% with atrial fibrillation or flutter occurring within 1 month after the procedure as compared with 0.9% in the antiplatelet-only group (P=0.02)

### N Engl J Med 2017; 377:1011-1021

## GORE REDUCE

- 664 patients PFO-stroke (81% moderate or large shunt)
  - Randomized to (2:1)  $\rightarrow$  f/u 3.2 years
    - PFO closure + antiplatelet treatment (SAPT)
    - Antiplatelet treatment (SAPT)
  - Co-primary endpoint
    - Clinical ischemic stroke
    - New brain infarction (24 month f/u MRI)

End Point	PFO Closure Group	Antiplatelet-Only Group	Effect Size	P Value
	no. of patien	ts/total no. (%)		
Clinical ischemic stroke†	6/441 (1.4)	12/223 (5.4)	0.23 (0.09–0.62)‡	0.002§
New brain infarction¶	22/383 (5.7)	20/177 (11.3)	0.51 (0.29–0.91)	0.04**
Recurrent clinical ischemic stroke	5/383 (1.3)	12/177 (6.8)	0.19 (0.07–0.54)	0.005**
Silent brain infarction only	17/383 (4.4)	8/177 (4.5)	0.98 (0.43–2.23)	0.97**

### N Engl J Med 2017; 377:1033-1042

### DEFENSE PFO

- 120 patients with PFO-stroke (High risk; ASA, hypermobile atrium, >2mm)
  - Randomized to
    - PFO closure + antiplatelet treatment (DAPT 6month )
    - Medical treatment (SAPT, DAPT, warfarin)

2-Yr Outcome	PFO Closure Group (n = 60)	Medication-Only Group (n = 60)	p Value
Primary endpoint	0 (0.0)	6 (12.9)	0.013
Secondary endpoint			
Ischemic stroke	0 (0.0)	5 (10.5)	0.023
Vascular death	0 (0.0)	0 (0.0)	NA
TIMI-defined major bleeding	0 (0.0)	2 (4.9)	0.15
Hemorrhagic stroke	0 (0.0)	1 (2.5)	0.30
Transient ischemic attack	0 (0.0)	1 (2.0)	0.32
Systemic embolism	0 (0.0)	0 (0.0)	NA
New ischemic lesion on MRI	3/34 (8.8)	7/38 (18.4)	0.24

### – Primary endpoint: MACE

Values are n (%) (Kaplan-Meier estimates) or n/N (%).

MRI – magnetic resonance imaging; NA – not applicable; PFO – patent foramen ovale; TIMI – Thrombolysis In Myocardial Infarction.



J Am Coll Cardiol. 2018 May 22;71(20):2335-2342

## Can we implicate the result directly?

• No representative player



Antiplatelet treatment vs. anticoagulation

- PFO in Cryptogenic Stroke Study
- Warfarin-Aspirin Recurrent Stroke Study의 하위 연구
- N=630 patients with TEE
- Study duration: 24 month follow-up
- 203 of 630 patients had PFO, 98 patients had Cryptogenic stroke

TABLE 3. Two-Year Rates of Recurrent Stroke or Death\* in Patients With and Without PFO Assigned to Warfarin or Aspirin

	Warfarin	Aspirin	Hazard Ratio (95% Cl)	Р
Entire PICSS cohort				
With PFO (n=203)	16.5% (n=97)	13.2% (n=106)	1.29 (0.63-2.64)	0.49
No PFO (n=398)	13.4% (n=195)	17.4% (n=203)	0.80 (0.49-1.33)	0.40
Cryptogenic cohort				
With PFO (n=98)	9.5% (n=42)	17.9% (n=56)	0.52 (0.16-1.67)	0.28
No PFO (n=152)	8.3% (n=72)	16.3% (n=80)	0.50 (0.19-1.31)	0.16

\*From Kaplan-Meier curves.

### Do we have evidence for NOAC?



NAVIGATE ESUS



### **RESPECT ESUS**

## Anticoagulation and PFO



Rivar (n=36	Rivaroxaban group (n=3609) Aspirin group (n=3604)		Hazard ratio (95% CI) <sup>*</sup>	* Pinteraction				
Paties	Even nts rate <sup>†</sup>	ts (event )	Events (event Patients rate <sup>†</sup> )					
Size of F	'FO'							
Large	23	0 (0 • 0)	25	2 (9 • 4)	NA			
Small	112	6 (4 • 5)	112	8 (6 • 6)	0.68 (0.24- 1.97)	NA		
Arterial	Arterial septal aneurysm reported <sup>§</sup>							

Yes	31	0 (0 • 0)	40	3 (6.7)	NA	
No	151	7 (4 • 4)	157	9 (6 • 0)	0.75 (0.28–	NA
					2.02)	

### NAVIGATE ESUS



Anticoagulation may have potential benefit in those with High risk PFO

### Best treatment?

We may say that **High risk PFO attributed to stroke** may be closed

### But Gray zone may exist....

TABLE 2. PFO-attributable fraction and	d estimated 2-yea	ar risk of stroke/TIA	by RoPE score
--	-------------------	-----------------------	---------------

RoPE score	PFO-attributable fraction	Estimated 2-year stroke/TIA recurrence rate (Kaplan-Meier)
0-3	o (0-4)	20 (12-28)
4	38 (25-48)	12 (6-18)
5	34 (21-45)	7 (3-11)
6	62 (54-68)	8 (4-12)
7	72 (66-76)	6 (2-10)
8	84 (79-87)	6 (2-10)
9-10	88 (83-91)	2 (0-4)

Values are presented as % (95% confidence interval).

PFO; patent foramen ovale, TIA; transient ischemic attack, RoPE; risk of paradoxical embolism.

Steps needed for Treatment decision...

- 1) Is stroke really associated with PFO ?
- 2) What is the risk of stroke for the patient with PFO
- 3) Risk and benefit of each treatment

Individualize treatment strategy for each patients